

# Uttam Value Steels Limited

Registered office : Uttam House, 69, P. D' Mello Road, Mumbai - 400 009.  
Tel.: +91-22-6656 3500 • Fax : +91-22-2348 5025  
CIN No.: L27100MH1970PLC014621  
Website: www.uttamvalue.com



5<sup>th</sup> October, 2016

The Listing Manager,  
**BSE Limited**,  
P.J. Towers, Dalal Street,  
Mumbai - 400001

Dear Sir/ Madam,

**Sub: Compliance with Regulation 27(2) of the Listing Obligations and Disclosure Requirements, 2015.**

Please find enclosed herewith Quarterly Compliance Report on Corporate Governance for the quarter ended 30<sup>th</sup> September, 2016 in the format prescribed.

Kindly take the same on your record.

Thanking you,

Yours truly,

For Uttam Value Steels Limited

(Ram Gaud)

Sr. General Manager & Company Secretary



Encl: As Above

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## ANNEXURE I

### Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity : Uttam Value Steels Limited
2. Quarter ending : 30.09.2016

I. Composition of Board								
Title (Mr./Ms)	Name of the Director	PAN <sup>s</sup> & DIN	Category (Chairperson/Executive/Nominee - Executive/independent/Nominee)	Date of Appointment in the current term /cessation	Tenure*	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations )	Number of memberships in Audit/Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations )	No of post of Chairperson in Audit/Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations )
Mr.	Rajinder Miglani	AABPM7288D00286788	Director	28/12/2012	NA	2	Nil	1
Mr.	B L Khanna	AAJPK5628D00841927	Chairman/Independent Director	20/06/2012	2 Years 4 Months	2	Nil	1
Mr.	S. K. Soni	AALPS4912J00046856	Independent Director	25/05/2013	2 Years 4 Months	3	Nil	Nil
Mr.	S K Sachdev	AEBPS3705D02428623	Nominee Director (IDBI)	07/11/2014	NA	1	Nil	Nil
Mr.	B L Khurana	AFWPK2592L00671592	Independent Director	29/05/2015	1 Year 9 Months	4	3	Nil
Mr.	Rajiv Munjal	AENPM0024P05195651	Whole Time Director	28/12/2012	NA	1	2	Nil
Ms.	Jagath Chandra	AFJPC2361L07147686	Director	30/05/2016	NA	1	2	Nil



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§ PAN number of any director would not be displayed on the website of Stock Exchange & Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

\* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.

## II. Composition of Committee

Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/independent /Nominee)§
1. Audit Committee	Shri B L Khanna Shri Rajiv Munjal Shri B L Khurana	Chairman Whole - Time Director Independent
2. Nomination & Remuneration Committee	Shri. B L Khurana Shri S. K. Soni Shri B L Khanna	Chairman Independent Independent
3. Risk Management Committee(if applicable)	NA	NA
4. Stakeholders Relationship Committee	Shri Rajinder Miglani Shri Rajiv Munjal Ms. Jagath Chandra	Chairman Whole - Time Director Director

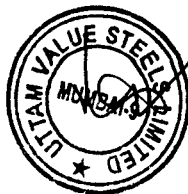
& Category of directors means executive/ non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen.

## III. Meeting of Board of Director

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days
30.05.2016	06.08.2016	67 days [excluding the date of meetings]

## IV. Meeting of Committees

Date(s) of meeting of the Audit committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days *
06.08.2016	Yes - all the members of the Audit Committee were present.	30.05.2016	67 days [excluding the date of meeting]



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\*This information has to be mandatorily given for audit committee, for rest of the committees giving this information is optional.

## V. Related Party Transaction

Subject	Compliance status (Yes/No/NA) refer note below
Whether prior approval of audit committee obtain	Yes
Whether shareholder approval obtained for material RPT	Yes
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

Note:

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.

2 If status is "No" details of non-compliance may be given here.

## VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee
  - b. Nomination & remuneration committee
  - c. Stakeholders relationship committee
  - d. Risk management committee (applicable to the top 100 listed entities).
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

For Uttam Value Steels Limited



Ram Gaud

Sr. General Manager & Company Secretary



**ANNEXURE III**

**Format to be submitted by listed entity at the end of 6 months after end of financial year along-with second quarter report of next financial year**

<b>I Affirmations</b>		
<b>Broad heading</b>	<b>Regulation Number</b>	<b>Compliance status (Yes/No/NA) <small>refer note below</small></b>
<i>Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website</i>	46(2)	Yes
<i>Presence of Chairperson of Audit Committee at the Annual General Meeting</i>	18(1)(d)	Yes
<i>Presence of Chairperson of the nomination and remuneration committee at the annual general meeting</i>	19(3)	No
<i>Whether "Corporate Governance Report" disclosed in Annual Report</i>	34(3) read with para C of Schedule V	Yes
<p><b>Note</b></p> <p>1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.</p> <p>2 If status is "No" details of non-compliance may be given here.</p> <p>3 If the Listed Entity would like to provide any other information the same may be indicated here.</p>		
<p><b>For Uttam Value Steels Limited</b></p> <div style="display: flex; align-items: center; justify-content: space-around;">   </div> <p>(Ram Gaud) Sr.General Manager &amp; Company Secretary</p>		